## **PCT**

## WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

- (51) International Patent Classification <sup>6</sup>:
  A61F 2/00
  A2
  (11) International Publication Number: WO 96/01597
  (43) International Publication Date: 25 January 1996 (25.01.96)
- (21) International Application Number: PCT/US95/07777

  (22) International Filing Date: 19 June 1995 (19.06.95)

  PCT/US95/07777

  (81) Designated States: AM, AT, AU, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IS, JP, KE, KG, KP, KR, KZ, LK, LR, LT, LU, LV, MD, MG, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TT, UA, UG, UZ, VN, European patent (AT, BE,
- (30) Priority Data:

  08/273,418

  11 July 1994 (11.07.94)

  US

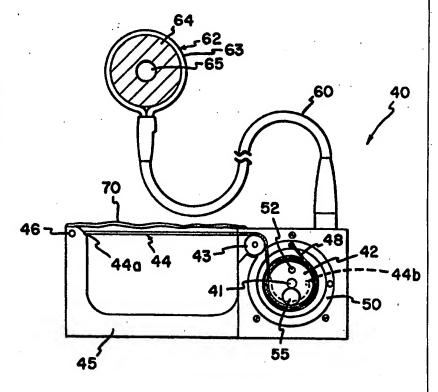
  CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG), ARIPO patent (KE, MW, SD, SZ, UC)
- (71) Applicant: DACOMED CORPORATION [US/US]; Suite 17, 1701 E. 79th Street, Minneapolis, MN 55425-1152 (US).
- (72) Inventor: TIMM, Gerald, W.; 1620 Mount Curve Avenue, Minneapolis, MN 55403 (US).
- (74) Agent: SCHUMANN, Michael, D.; Merchant, Gould, Smith, Edell, Welter & Schmidt, 3100 Norwest Center, 90 South Seventh Street, Minneapolis, MN 55402 (US).
- Published

Without international search report and to be republished upon receipt of that report.

#### (54) Title: VESSEL OCCLUSIVE PROSTHESIS

#### (57) Abstract

The present invention relates to a vessel occlusive apparatus for reversibly occluding a fluid conveying vessel in a human and/or animal. In one embodiment the vessel occlusive apparatus comprises an elongated member at least partially encircling the vessel, and means connected to the elongated member for applying tension to the elongated whereby pressure is applied to the vessel to prevent fluid from passing therethrough.



## FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MR	Mauritania
AU	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	IE	Ireland	NZ	New Zealand
BJ	Benin	IT	Italy	PL	Poland
BR	Brazil	JP	Japan	PT	Portugal
BY	Belarus	KE	Kenya	RO	Romania
CA	Canada	KG .	Kyrgystan	RU	Russian Pederation
CF	Central African Republic	KP	Democratic People's Republic	· SD	Sudan
CG	Congo		of Korea	SE	Sweden
CH	Switzerland	KR	Republic of Korea	SI	Slovenia
CI	Côte d'Ivoire	KZ	Kazakhstan	SK	Slovakia
CM	Cameroon	LI	Liechtenstein	SN	Senegal
CN	China	LK	Srl Lanka	TD	Chad
CS	Czechoslovakia	LU	Luxembourg	TG	Togo
CZ	Czech Republic	LV	Latvia	TJ	Tajikistan
DE	Germany	MC	Monaco	TT	Trinidad and Tobago
DK	Denmark	MD	Republic of Moldova .	UA	Ukraine
ES	Spain	MG	Madagascar	US	United States of America
FI	Finland	ML	Mali	UZ	Uzbekistan
FR	France	MN	Mongolia	VN	Viet Nam
GA	Gabon		•		

#### **VESSEL OCCLUSIVE PROSTHESIS**

#### Background of the Invention

<u>;</u> .:

5

The present invention relates to an apparatus and method for occluding fluid conveying vessels in the body. In particular, the invention relates to an apparatus and method for occluding the urethra so as to restore urinary continence to patients with urinary control problems.

Urinary incontinence is a frequent and distressing sequel to various neurological diseases, surgical procedures, spinal cord injury, etc. Various urethral occlusive apparatus have been developed in an effort to restore urinary continence to patients with urinary control problems.

In particular, apparatus utilizing hydraulic sphincters or cuffs have been used to provide urethral occlusion. See for example:

20 Timm, G.W., Merrill, D.E. and Bradley, W.E.
 "Intermittent Occlusion System", IEEE Transactions On BioMedical Engineering, BME-17:352, 1970.

Timm, G.W., "An Implantable Incontinence Device", J. Biomechanics, 4:213-219, 1971.

- 25 U.S. Patent No. 3,744,063; issued July 10, 1973.
  - U.S. Patent No. 3,863,622; issued February 4, 1975.
  - U.S. Patent No. 4,571,749; issued February 25, 1986.
  - U.S. Patent No. 4,731,083; issued March 15, 1988.
  - U.S. Patent No. 4,784,660; issued November 15, 1988.
- One particular problem with hydraulic sphincters or cuffs is that they often do not apply uniform pressure on the urethra. As the cuff or sphincter is inflated it folds or changes its shape, often in a non-uniform manner, thereby exerting uneven occlusion force on the urethra.
- 35 This can result in urethral erosion or urethra tissue being worn away after extensive use.

Another approach to treating urinary incontinence is to surgically adjust the angle between the urethra and the bladder. This angle is often referred to as the angle of WO 96/01597 .

2

the urethro vesical junction. Of course a problem with this procedure is that the effects of the surgery cannot be readily changed and requires trained medical professionals to do so.

5 The present invention offers a substantial improvement over existing urethral occlusive apparatus and methods.

#### Summary of the Invention

10

The present invention relates to an occlusive apparatus and method for reversibly occluding fluid conveying vessels in the body.

The present invention has numerous applications for controlling and/or occluding fluid flow in fluid conveying vessels in humans and/or animals. Its applications include control of fecal incontinence, venous outflow from a penus and thus impotence, bile duct flow, male and female fertility, blood flow in blood vessels, etc. The present invention can be used in conjunction with most any vessel in the body. In many applications the present invention will occlude the vessel as required to stop or prohibit fluid flow. In other applications the present invention will control the amount of fluid flow through the vessel.

One embodiment of the present invention relates to an occlusive apparatus and method for reversibly occluding the urethra of a patient.

In a preferred embodiment, the urethral occlusive apparatus and method includes an elongated member at least partially encircling the urethra. Means is connected to said elongated member for placing the elongated member under tension, whereby occlusive force is applied to the urethra to prevent fluid from passing through.

In one embodiment, the elongated member substantial surrounds the circumferential extent of the urethra so as to form an annulus about the urethra. When the elongated

3

member is placed under tension, the diameter of the annulus is reduced thereby exerting a radially inward directed force which occludes the urethra.

In yet another embodiment, the elongated member only partially surrounds the circumference of the urethra. When the elongated member is placed under tension, the elongated member exerts, at least in part a lateral bending force on the urethra so as to change the angle of the urethra thereby occluding the urethra.

In one embodiment of the present invention the elongated member is partially enclosed in a flexible sheath. The sheath shields the elongated member from the urethra. Preferably the sheath is made from a material which has little or no folding occur as the elongated member is tensioned.

In a preferred embodiment of the invention the sheath is made of expanded polytetrofloraethylene (expanded PTFE).

In one embodiment, the elongated member is partially, slidably disposed in a substantially non-compressible tube. The sheath is suitably attached proximate at least one end of the sheath to the tube. If the elongated member forms an annulus about the urethra, the sheath is suitable connected at both ends of the sheath to the tube. Accordingly as the elongated member is tensioned or pulled, the length of the sheath contracts thereby

In one embodiment a pulley and spring assembly is used to tension the elongated member. In still one embodiment, the pulley assembly is manually activated, and in yet another embodiment the pulley is motor driven.

exerting force on the urethra.

In one embodiment the pulley assembly includes two pulleys mounted for pivotal movement about a common axis. The two pulleys are interconnected by a coiled spring which serves as a shock absorber to take up any slack in the system and to absorb excessive force or resistance encountered during operation thereby resulting in smoother

4

operation of the apparatus. The spring additionally keeps the elongated member in tension which thereby creates a preset occlusive force around the urethra.

In yet another embodiment, the present invention

5 pertains to an electro-mechanical apparatus and method for
exerting an urethral occlusive force which utilizes an
abdominal pressure sensor providing input signals
representative of the extravesical, abdominal pressure to
an electronic controller which controls the tension of the

10 elongated member in response to the input signals received
from the pressure sensor.

A preferred embodiment of the present invention is totally implantable in the body of the patient.

In yet another embodiment, the implantable apparatus
15 requires no tubes, wires, or other external control
mechanisms passing through the skin of the patient.

An advantage of one embodiment of the present invention is that it does not use a hydraulic cuff or sphincter mechanism. As a result it is able to apply a 20 more uniform radial force against the circumference of the urethra when occluding the urethra.

These and various other features and advantages of novelty which characterize the invention are pointed out with particularity in the claims annexed hereto and forming a part hereof. However, for a better understanding of the invention, its advantages and objects obtained by its use, reference should be had to the drawings which form a further part hereof, and to the accompanying descriptive matter, in which there is illustrated and described a preferred embodiment of the invention.

#### Brief Description of the Drawings

In the drawings in which like reference numerals and letters generally indicate corresponding parts throughout the several views;

5

Figure 1 is a side elevational view in partial crosssection illustrating an embodiment of a urethral occlusive apparatus in accordance with the principles of the present invention;

Figure 2 is a side elevational view of the embodiment shown in Figure 1 with the occlusive device activated so as to occlude the urethra;

Figure 3 is an exploded view of the embodiment shown in Figures 1 and 2;

10 Figure 4 is a diagrammatic view of an alternative embodiment of the present invention including a drive motor;

Figures 5A,B are diagrammatic views of still another embodiment of a urethral occlusive apparatus which has a distal end of an elongated member anchored to bone on one side of the urethra, the elongated member being slidable in an outer sleeve member which is attached to bone on the other side of the urethra; and

Figures 6A,B are diagrammatic views showing the 20 embodiment of Figures 5A,B interacting with the urethra;

Figure 7 is a diagrammatic view of still another embodiment of a urethral occlusive apparatus including a controller and pressure sensor for automated operation.

25

#### Detailed Description of Preferred Embodiment(s)

As noted above, the apparatus and method of the present invention might be utilized in conjunction with any number of different vessels in humans and animals which are used to convey fluid. The following are some examples of various applications of the present invention; however, this list is by no means exhaustive of the numerous applications in which the present invention may be used. The present invention might be used to control fecal incontinence or bowl movements, to control venous outflow from a penis and thus impotence, to control bile

6

duct flow, to control fluid flow in the vas deferens and thus male fertility, to control fluid flow in the fallopian tubes and thus control female fertility, to control blood flow in blood vessels for vascular studies 5 or the like, etc.

The above noted applications are but a few of the applications of the present invention. The presention invention will now be described more particularly in view of its application for occluding the 10 urethra and thus controlling incontinence. It will be appreciated that the urethra is shown with only a single lumen or passageway. However, in many applications such as controlling venous outflow from the penis, there are multiple lumens which will be occluded by the present invention.

A urethral occlusive apparatus method in accordance with the present invention in the preferred embodiment is designed to be totally implantable. The apparatus has no tubes or wires or other electro-mechanical connection passing through the skin. Additionally, in the preferred embodiment no external appliances are required to operate the system. However, coupled coils might be used either for continuous powering of the device or to recharge batteries which are implanted to power the device. Preferably, the complete device is capable of being inserted using existing endoscopic or other minimally invasive techniques.

In the preferred embodiment, the urethral occlusive apparatus is made of materials and has a geometric configuration which is compatible with the body. In one embodiment, materials used might include titanium, stainless steel or implantable grade plastics, and the apparatus might have a dimension of 1 X 3 X 5 cm. In the preferred embodiment, all surfaces in contact with body fluids and/or tissue meet the required; e.g., class 6 U.S.P., tests for toxicity and pyrogenicity. In addition, the corners and edges are rounded and surfaces are

5

7

nonabrasive to body structures. The urethral apparatus of the preferred embodiment is also lightweight so as to minimize any chance for migration. One embodiment might weigh 100 grams.

In the preferred embodiment, the operation of the urethral apparatus is easily understood and readily controlled by the user requiring a minimal amount of mental dexterity. manual Activation deactivation shall be accomplished through the intact The activation/deactivation mechanism of the urethral apparatus shall be of a size to be readily grasped by the user. In alternative embodiments the activation/deactivation device may be electro-magnetically controllable or controlled by other wireless means such as coupled coils or radio frequency (RF) signals. other embodiments, a tensioning algorithm shall be modifiable from outside the body.

In the preferred embodiment, the occlusive force exerted against the urethra shall be sufficient to prevent urinary leakage but not so great the urethra viability is impaired. Preferably, the pressure shall not exceed 100 cm H2O for more than 10 minutes when in the activated state. Moreover, the urethral apparatus shall not interfere with urine flow when in the open or deactivated state.

Alternate embodiments of the urethral apparatus shall have automatic pressure release capabilities with high intravesical pressure. In one preferred embodiment, a detrusor muscle contraction (bladder muscle contraction) producing an intravesical pressure greater than 120 cm H2O (estimated normal voiding pressure) shall result in release of pressure against the urethra upon being sensed by an abdominal sensor present in the urethral apparatus.

Preferably, a nonfunctioning urethral apparatus shall 35 leave the patient in his/her preimplant incontinent condition. The device shall be inert in the presence of body tissues, and materials contained within the urethral

8

apparatus shall be of an implantable quality and be nonallergenic to the patient. Preferably the mechanism will prevent leakage during coughing, straining or other sudden increases in abdominal pressure. A preferred 5 embodiment of the system shall contain a sensor to be placed in the abdominal cavity to feed back tensioning information to the controller. The sensor senses intraabdominal. extravesical pressure that causes intravesical pressure (i.e. bladder pressure) to rise without a detrusor muscle contraction. When this occurs presence of impaired urinary sphincter contractibility, urinary incontinence occurs. The sensor could also sense neural impulses related to detrusor muscle activity and cause the urethral apparatus to respond appropriately.

Preferably materials used in the system shall not deteriorate in contact with body fluids and tissue or as to provide the urethral apparatus with long-term usage. In the preferred embodiment, the maximum activation pressure obtainable in the system shall be inherent and therefore not dependent upon the ability of the user to manipulate the device.

More particularly, there is illustrated in Figures 1-3, a preferred embodiment of the present invention, 25 generally referred to by the referenced numeral 40. urethral apparatus 40 includes a pulley 42 mounted for pivotal movement about an axial member 41 defining an axis of rotation. A member 44 extends over a guide pulley 43 and around the pulley 42. The member 44 is connected 30 proximate a first end 44a to a housing 45 of the urethral apparatus 40 by a fastener 46. An opposite end 44b of the member 44 is suitably fastened to the pulley 42 in a groove formed in the pulley 42. In one embodiment the member 44 might be directly attached or attached after only a partial revolution to the pulley 42 and in still in another embodiment the member 44 might be wrapped around the pulley 42 one or more complete revolutions.

A coiled spring 48 is coaxially mounted about the pulley 42. A first end 48a of the coiled spring 48 is suitably fastened to the pulley 42 and a second end 48b is suitably fastened to a large diameter pulley 50 which is 5 pivotable about the axis 41. A pin 52 biased axially by a coil spring 53 is alignable with a pin 54 upon rotation of the pulley 42 a predetermined distance in a clockwise The pin 54 is slideably mounted in a housing direction. portion 45a and has a push button 55 attached at the end 10 of the pin 54. In the embodiment shown, the housing portion 45a is suitably mounted to the rest of the housing by fasteners 47. When the pin 52 is aligned with the pin 54, the pin 52 axially biases the pin 54 and projects into a bore of the housing 45a where the pin 54 is slideably 15 disposed. The push button 55 can be used to force the pin 54 axially back toward the pin 52 such that the pin 52 is forced out of the bore of the housing 45a, whereby the pulley 42 is caused to rotate counter clockwise due to the tension in the spring 48.

A flexible elongated member such as a cable 58 is disposed in a hollow, axially non-compressible conduit 60 so as to be slidable therein. The cable 58 is preferably substantially non-elastic along its longitudinal axis so that exerting a force and causing movement of a first proximal end of the cable 58 will cause a corresponding movement of the cable 58 at a second distal end. A distal end portion of the cable 58 extends from an open end 61 of the conduit 60 and is looped back and suitably attached to the end of 61 of the conduit 60 so as to form an annulus 30 62 which can be positioned to surround a urethra 64. distal end portion of the cable 58 is slidably enclosed within a sheath 63 which is suitably attached at its ends to the end 61 of the conduit 60. The sheath 63 shields the urethra from the cable 58 and forces against the 35 urethra when the cable 58 is tensioned. In a preferred embodiment, the sheath 63 is made of expanded PTFE.

20

10

The conduit 60 is attached to and extends from the housing 45 which houses the pulleys 42,50. A proximal end of the cable 58 is suitably attached to the pulley 50. the embodiment shown, the proximal end of the cable 58 has 5 a cylinder member 66 suitably attached. The cylinder member 66 is suitably attached in a groove 67 of the pulley 50 by a set screw 68.

While the housing 45 is made of a relatively rigid biocompatible material, a top surface of the housing 10 includes a resilient flexible membrane 70 which is inwardly deformable upon the application of a force so as to displace the member 44 as generally illustrated in Figure 2. It will be appreciated that this force might simply be applied by a user manually pressing against their skin adjacent the flexible membrane 70.

15

As illustrated in Figure 2, upon depressing down on the membrane 70 the member 44 is displaced so as to cause rotation of the pulley 42 which in turn causes rotation of the pulley 50 due to the coil spring 48 interconnecting 20 the pulleys 42, 50. Rotation of the pulley 50 causes tensioning of the cable member 58. As shown in Figure 2, this results in a subsequent reduction in size of the annulus 62 formed by the cable 48 and the sheath 63, thereby exerting an occlusive force on the urethra 64 so as to close the urethral passage 65 and prevent any leakage.

In the embodiment shown, when the flexible membrane 70 is sufficiently depressed, the pulley 42 rotates the spring biased pin 52 into axial alignment with the pin 54 whereupon the spring biased pin 52 is received in an axial bore of the housing portion 45a where the pin 54 is slideably disposed. Accordingly, when this occurs, the pulleys 42,50 are locked in the activated state thereby maintaining tension on the cable 58 and occluding the 35 urethra 64. To release the tension on the cable 58, the push button 55 is simply pressed from the side of the housing 54 such that the pin 54 forces the pin 52 out of

the bore of the housing portion 45a whereupon the pulley 42 is allowed to freely rotate thereby releasing or reducing the tension exerted on the cable 58. Accordingly, the annulus 62 is enlarged and the urethra 64 is no longer occluded.

Figure 4 is an alternative embodiment of the present invention wherein a motor 100 is provided. The motor 100 includes a pulley 102 mounted on a drive shaft 104 of the motor 100. The pulley 102 is suitably connected to the pulley 42 for rotating the pulley 42. In the embodiment shown, the pulley 102 is connected by an elongated member 106 which is suitably fastened to the drive pulley 102 and the pulley 42. Guide pulleys 108 are shown guiding the elongated member 106. It will be appreciated that the drive motor 100 is powered by a suitable power source interconnected by to the drive motor 100 by an electrical conduit 110. Varying tensions might be placed on the cable member 58 by using the motor 100. Moreover, the motor 100 will preferably include a brake mechanism for retaining the apparatus in an activated state. motor might be activated manually or automatically activated according to certain predetermined conditions.

Shown in Figures 5A,B is yet another embodiment of the present invention wherein the cable member 58 and 25 sheath 63 are attached proximate a distal end to a pubic bone structure 109 in the body on one side of the urethra The sheath 63 is connected to the conduit 60 proximate a proximal end. The conduit 60 is in turn attached to the pubic bone structure 109 on the other side 30 of the urethra 64. Accordingly, in this embodiment it is not necessary to form an annulus about the urethra. tensioning or pulling on the cable member 58, the cable member 58 and the sheath 63 cooperate with the bone structure 109 to occlude the urethra 64. The cable 58 and sheath 63 combination exerts in part a lateral bending force on the urethra which bends or kinks the urethra thereby causing occlusion to occur. This is best shown in

12

Figures 6A,B where the urethra 64 and bladder 71 are illustrated. In Figure 6A the urethral device is shown in a relaxed or deactivated state, and in Figure 6B, the urethral device is shown in an activated or occlusive state.

Shown in Figure 7 is yet another embodiment of the invention which includes a controller electrically connected to an abdominal pressure sensor 122 and a power source 124. The controller 120 is implanted in the body and suitably connected to the motor 100 of the urethral apparatus 40 by the connection 110 for providing current to the motor 100. The pressure sensor 122 is placed in the prevesical space next to the urinary bladder intraabdominal pressure and send signals to sense 15 representative of the pressure so sensed. Upon detection of a predetermined pressure by the pressure sensor 122, the urethral apparatus 40 is activated by the controller according predetermined to a algorithm preprogrammed logic. The algorithm provides for the tension in the cable to be relaxed when intraabdominal pressure is less than resting bladder pressure of approximately 20cm H20. When intraabdominal pressure exceeds 20cm H20, tension is applied proportionately until the maximum occlusive pressure at 100cm H20 is obtained. Cable tension is again released as the intraabdominal pressure drops. Accordingly, the cable is in a relaxed state most of the time, thereby further reducing the risk of urethral erosion.

The power source 124 might include batteries or externally supplied power. The controller 120 might include interface capability for interconnection to various peripherals including an adapter/charger which might be plugged into an AC outlet by a suitable power cord. The interconnection might be accomplished by coupled transformer coils or other suitable methods. This interconnection might also provide for external resetting and/or modification of the predetermined algorithm. Use

of interconnection methods such as coupled transformer coils will allow the interface to be accomplished preferably without the use of wires or other physical connectors extending through the skin. It will be appreciated that this embodiment will preferably include program logic which can be readily programmed for different parameter settings, functions, etc. both before implantation of the urethral apparatus 40 or after implantation.

Having read the foregoing description, it is to be understood, that even though numerous characteristics and advantages of various embodiments in accordance with the principles of the invention have been set forth in the foregoing description, together with details of the structure and function of the invention, the disclosure is illustrative only, and changes may be made in detail, especially matters of shape, size and arrangement of the parts within the principles of the invention to the full extent indicated by the broad general meaning of the term in which the appended claims are expressed.

10

#### WHAT IS CLAIMED IS:

- 1. An occlusive apparatus for reversibly occluding a fluid conveying vessel in the body, comprising:
- 5 an elongated member at least partially encircling the vessel; and

means connected to said elongated member for applying tension to the elongated member whereby pressure is applied to the vessel to prevent fluid from passing therethrough.

2. A method for controlling fluid flow in a fluid conveying body vessel, comprising the steps of:

encircling at least a portion of the urethra with an elongated member; and

- applying tension to the elongated member so as to occlude the urethra.
  - 3. A method in accordance with claim 2 for controlling fecal incontinence.
- 4. A method in accordance with claim 2 for controlling venous outflow from a penis.
  - 5. A method in accordance with claim 2 for controlling bile duct fluid flow.
- A method in accordance with claim 2 for controlling fluid flow in the vas deferens thereby
   providing male fertility control.
  - 7. A method in accordance with claim 2 for controlling fluid flow in a fallopian tube thereby providing female fertility control.
- A method in accordance with claim 2 for 30 controlling fluid control in blood vessels.
  - 9. A urethral occlusive apparatus for reversibly occluding the urethra of a patient, comprising:

an elongated member at least partially encircling the urethra; and

means connected to said elongated member for applying tension to the elongated member whereby pressure

WO 96/01597 ·

is applied to the urethra to prevent fluid from passing therethrough.

- 10. The urethral occlusive apparatus of claim 9, further including a sensor for determining intraabdominal pressure and wherein said means for applying tension to the cable adjusts the tension on the cable in response to changing intraabdominal pressure.
- 11. The urethral occlusive apparatus of claim 9, wherein said elongated member forms an annulus about the 10 urethra.
- 12. The urethral occlusive apparatus of claim 9, wherein said elongated member is partially enclosed in a sheath proximate a distal end portion, whereby said sheath forces against the urethra as the elongated member is tensioned.
- 13. The urethral occlusive apparatus of claim 12, wherein one end of the elongated member is connected to said means for applying tension, a proximal end portion of the elongated member slidably extends through a non-compressible conduit and the distal end portion of the elongated member extends beyond the conduit.
  - 14. The urethral occlusive apparatus of claim 12, wherein said means for applying tension includes a constant force spring.
- 25 15. The urethral occlusive apparatus of claim 12, wherein said means for applying tension includes a torsion spring.
  - 16. The urethral occlusive apparatus of claim 9, wherein said means for applying tension includes means to activate and deactivate said means for applying tension, said means to activate and deactivate being operable from outside the body.
  - 17. The urethral occlusive apparatus of claim 10, wherein said means for applying tension adjusts tension applied to the elongated member to release pressure on the urethra if the intravesical pressure exceeds 120 cm of water.

18. The urethral occlusive apparatus of claim 9, further including a biocompatible, sheath slidably enclosing a distal end portion of the elongated member.

16

19. A urethral occlusive apparatus for reversibly5 occluding the urethra of a patient, comprising

an elongated member encircling the urethra so as to form an annulus about the urethra;

tension means connected to said elongated member for applying tension to the elongated member so as to vary the diameter of the annulus; and

actuating means connected to the tension means for activating and deactivating the urethral occlusive apparatus.

- 20. An apparatus in accordance with claim 19, 15 wherein the actuating means is manually operable.
  - 21. An apparatus in accordance with claim 19, wherein the actuating means is automatically operable.
- 22. An apparatus in accordance with claim 19, wherein urethral occlusive apparatus is configured and 20 arranged for implantation in a human.
  - 23. A method for controlling incontinence, comprising the steps of:

encircling at least a portion of the urethra with an elongated member; and

- applying tension to the elongated member so as to occlude the urethra.
- 24. The method of claim 23 further comprising the step of sensing the intraabdominal pressure and adjusting the tension of the elongated member based on the pressure 30 sensed.
  - 25. An apparatus comprising:

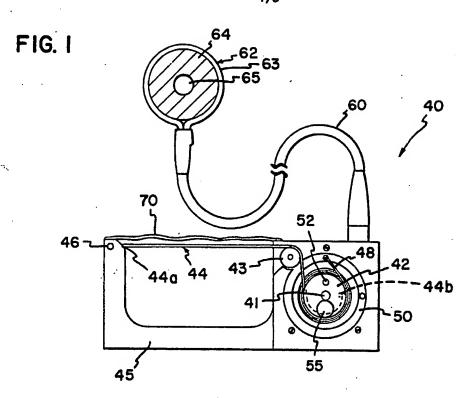
a cable at least partially encircling the urethra;

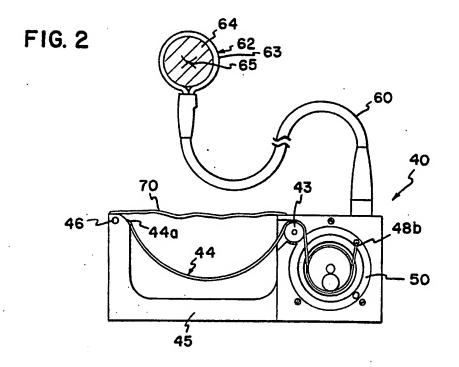
abdominal pressure sensor sensing abdominal 35 pressure; and

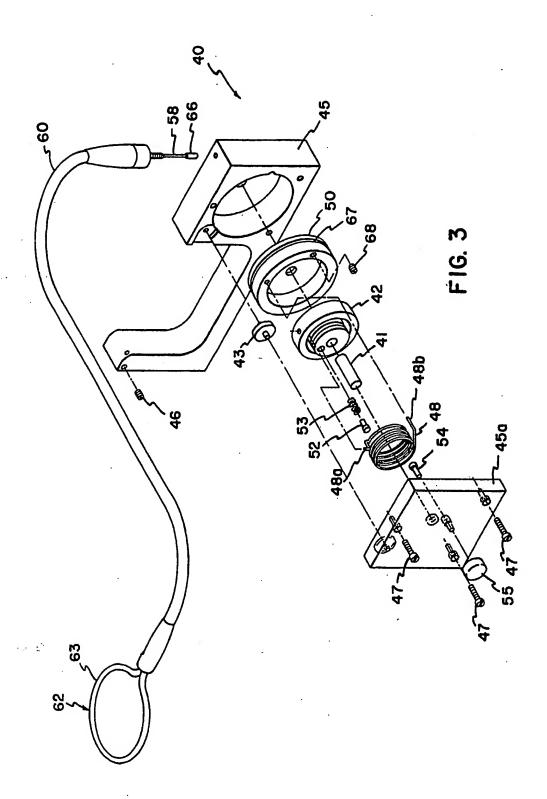
electro-mechanical tension controller controlling the tension applied to the cable in response

17

to the abdominal pressure sensed by the abdominal pressure sensor.







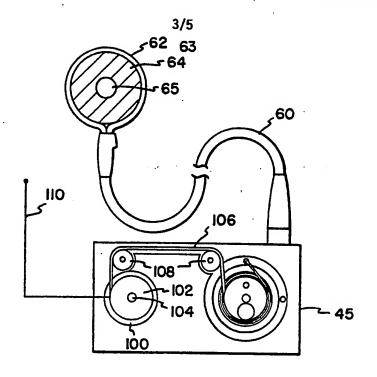
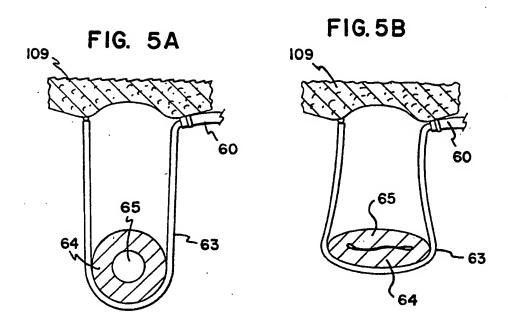
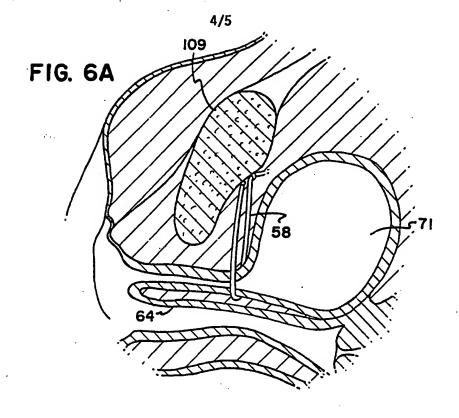
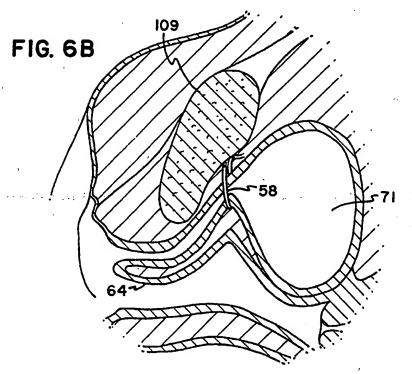
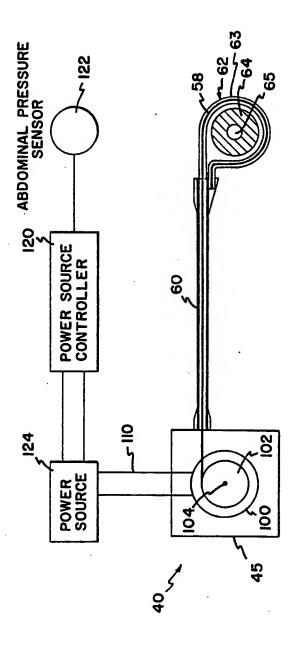


FIG. 4









F1G. 7



#### INTERNATIONAL APPLICATION PUBLISHED UNDER

WO 9601597A3

(51) International Patent Classification <sup>6</sup> :		(11) International Publication Number:	WO 96/01597	
A61F 2/00	A3	(43) International Publication Date:	25 January 1996 (25.01.96)	

	(21) International Application	Number: PC17US95	/07777	(81) Designated States: AM, AT, AU, BB, BG, BR, BY, CA, CH,
				CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IS, JP, KE,
	(22) International Filing Date	: 19 June 1995 (19	.06.95)	KG, KP, KR, KZ, LK, LR, LT, LU, LV, MD, MG, MN,
				MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK,
				TJ, TM, TT, UA, UG, UZ, VN, European patent (AT, BE,
ı	(30) Priority Data:			CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT,
ı	08/273,418	l 1 July 1994 (11.07.94)	US	SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML,

amendments.

(71) Applicant: DACOMED CORPORATION [US/US]; Suite 17, 1701 E. 79th Street, Minneapolis, MN 55425-1152 (US).

(72) Inventor: TIMM, Gerald, W.; 1620 Mount Curve Avenue, Minneapolis, MN 55403 (US).

(74) Agent: SCHUMANN, Michael, D.; Merchant, Gould, Smith, Edell, Welter & Schmidt, 3100 Norwest Center, 90 South Seventh Street, Minneapolis, MN 55402 (US).

# SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG), ARIPO patent (KE, MW, SD, SZ, UG).

Published

With international search report.

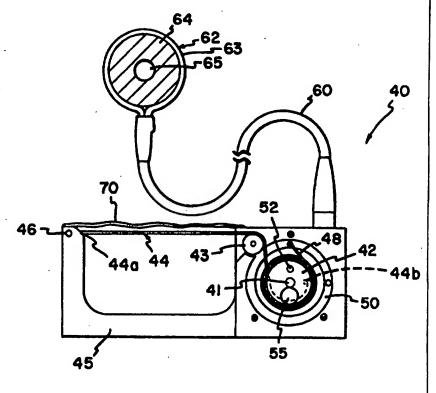
Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of

(88) Date of publication of the international search report: 14 March 1996 (14.03.96)

### (54) Title: VESSEL OCCLUSIVE PROSTHESIS

#### (57) Abstract

The present invention relates to a vessel occlusive apparatus for reversibly occluding a fluid conveying vessel in a human and/or animal. In one embodiment the vessel occlusive apparatus comprises an elongated member at least partially encircling the vessel, and means connected to the elongated member for applying tension to the elongated whereby pressure is applied to the vessel to prevent fluid from passing therethrough.



#### FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

	• •				•
AT	Austria	GB	United Kingdom	MR	Mauritania
ΑU	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	IE	Ireland	NZ	New Zealand
BJ	Benin .	IT	Italy	PL	Poland
BR	Brazil	JP	Japan	PT	Portugal
BY	Belarus	KE	Kenya	RO	Romania
CA	Canada	KG	Kyrgystan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic	SD	Sudan
CG	Congo		of Korea	SE	Sweden
CH	Switzerland	KR	Republic of Korea	SI	Slovenia
CI	Côte d'Ivoire	KZ	Kazakhstan	SK	Slovakia
CM	Cameroon	u	Liechtenstein	SN	Senegal
CN	China	LK	Sri Lanka	TD	Chad
CS	Czechoslovakia	LU	Luxembourg	TG	Togo
CZ	Czech Republic	LV	Larvia	TJ	Tajikistan
DE	Germany	MC	Monaco	TT	Trinidad and Tobago
DK	Denmark	MD	Republic of Moldova	UA	Ukraine
ES	Spain	MG	Madagascar	US	United States of America
FI	Finland	ML	Mali	UZ.	Uzbekistan
FR	France	MN	Mongolia	VN	Viet Nam
GA	Gabon				

#### INTERNATIONAL SEARCH REPORT

Inter focal Application No PCI/US 95/07777

A. CLASSI IPC 6	IFICATION OF SUBJECT MATTER A61F2/00				
According t	to International Patent Classification (IPC) or to both national cla	szification and IPC			
B. FIELDS	S SEARCHED				
Minimum d	documentation searched (classification system followed by classific	eation symbols)			
IPC 6	A61F				
Documenta	tion searched other than minimum documentation to the extent th	at such documents are included in the fields s	eurched		
Electrome d	data base consulted during the international search (name of data t	base and, where practical, search terms used)			
	MENTS CONSIDERED TO BE RELEVANT		<b>8</b> 1 1 1		
Category *	Citation of document, with indication, where appropriate, of the	relevant passages	Relevant to claim No.		
x	US,A,4 118 805 (REIMELS) 10 Octo	ober 1978	1,9-14, 16, 18-20,22		
		. ~			
X	WO,A,84 01098 (JOHN HOPKINS UNI March 1984 ref. sign 16	V.) 29	1		
A	US,A,3 810 259 (SUMMERS) 14 May pressure sensor see abstract	1974	1		
	*				
	* ,				
	, , , ,				
Fu	rther documents are listed in the continuation of box C.	X Patent family members are listed	in sanex.		
* Special c	tategories of cited documents:	T later document published after the int or priority date and not in conflict w			
	ment defining the general state of the art which is not idered to be of particular relevance	cited to understand the principle or t invention	heory underlying the		
	r document but published on or after the international gdate	"X" document of particular relevance; the cannot be considered novel or canno	t be considered to		
"L" docum	ment which may throw doubts on priority claim(s) or h is cited to establish the publication date of another	involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention			
O' docur	ion or other special reason (as specified) ment referring to an oral disclosure, use, exhibition or	cannot be considered to involve an in document is combined with one or n	nventive step when the nore other such docu-		
"P" docur	r means ment published prior to the international filing date but than the priority date claimed	in the art.	ments, such combination being obvious to a person skilled in the art.  & document member of the same patent family		
	ne actual completion of the international search	Date of mailing of the international s	earch report		
	23 November 1995		3. 02. 96		
Name and	d mailing address of the ISA	Authorized officer			
	European Patent Office, P.B. 5818 Patentiaan 2 NL - 2280 HV Rijswijk Tel. (+ 31-70) 340-2040, Tx. 31 651 epo nl, Faz: (+ 31-70) 340-3016	PAPONE, F			

ternational application No.

#### INTERNATIONAL SEARCH REPORT

PCT/US 95/07777

Box I	Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)
This int	ernational search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1. X	Claims Nos.: 2-8,23-24 because they relate to subject matter not required to be searched by this Authority, namely: Please see Rule 39.1(iv) PCT.
2.	Claims Nos.: because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3.	Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box II	Observations where unity of invention is lacking (Continuation of item 2 of first sheet)
This Int	ernational Searching Authority found multiple inventions in this international application, as follows:
l	Claims: 1,9-18,19-22 Urethral occlusive apparatus having elongated member and tensioning means to apply pressure.  Claim: 25 Apparatus having a cable, pressure sensor, and an electromechanical tension controller.
1. 🔲	As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2.	As all searchable claims could be searches without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3.	As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. X	No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:  1,9-18,19-22
Remark	on Protest  The additional search fees were accompanied by the applicant's protest.  No protest accompanied the payment of additional search fees.

## INTERNATIONAL SEARCH REPORT

information on patent family members

Internonal Application No PC (/US 95/07777

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US-A-4118805	10-10-78	AU-B- 3366878	06-09-79
05 // 1220000		BE-A- 864418	28-08-78
		DE-A- 2806405	31-08-78
	•	JP-A- 53107197	18-09-78
WO-A-8401098	29-03-84	US-A- 4571749	25-02-86
		CA-A- 1236251	10-05-88
		DE-T- 3390208	06-02-86
•		EP-A,B 0119265	26-09-84
		EP-A- 0314258	03-05-89
		GB-A,B 2137505	10-10-84
		GB-A,B 2171911	10-09-86
		JP-T- 59501779	25-10-84
		SE-B- 456317	26-09-88
		SE-A- 8402672	18-05-84
		US-A- 4731083	15-03-88
		US-A- 4784660	15-11-88
US-A-3810259	14-05-74	NONE	